



Welcome to the ProcessUnity Customer Summit!

Kellie Shea, Senior Marketing Programs Manager, ProcessUnity

Today's Agenda

- Opening Remarks
 - 11:00 AM to 11:30 AM
 - Sean Cronin, CEO
- ProcessUnity Product Roadmap
 - 11:35 AM to 12:05 PM
 - Todd Boehler, SVP Strategy
- Case Study: Idaho Central Credit Union
 - 12:10 PM to 12:55 PM
 - Travis Hulse, Vendor Relationship Risk Analyst
- Break
 - 12:55 PM to 1:10 PM
- Cybersecurity Program Management
 - 1:10 PM to 1:55 PM
 - David Klein, Sr. Dir. Product Strategy
- Case Study: Abercrombie & Fitch
 - 2:00 PM to 2:45 PM
 - Rob Seibel, Director of Legal Compliance
 - Adam Wefler, Sr. Procurement Specialist
 - Ros Morville, Sr. Product Marketing Manager



Welcome Back!

Sean Cronin, CEO, ProcessUnity

Our Core Values



Trust

We are honest. We stand for what is best for our customers, our team and our company.

Team

We are great colleagues. We celebrate diversity and inclusion. We do everything in our power to help our customers, partners and co-workers succeed.

Passion

We are driven. We compete to win and push to exceed customer expectations.

Innovation

We solve problems. We transform ourselves and our platform to create unique solutions for complex challenges.

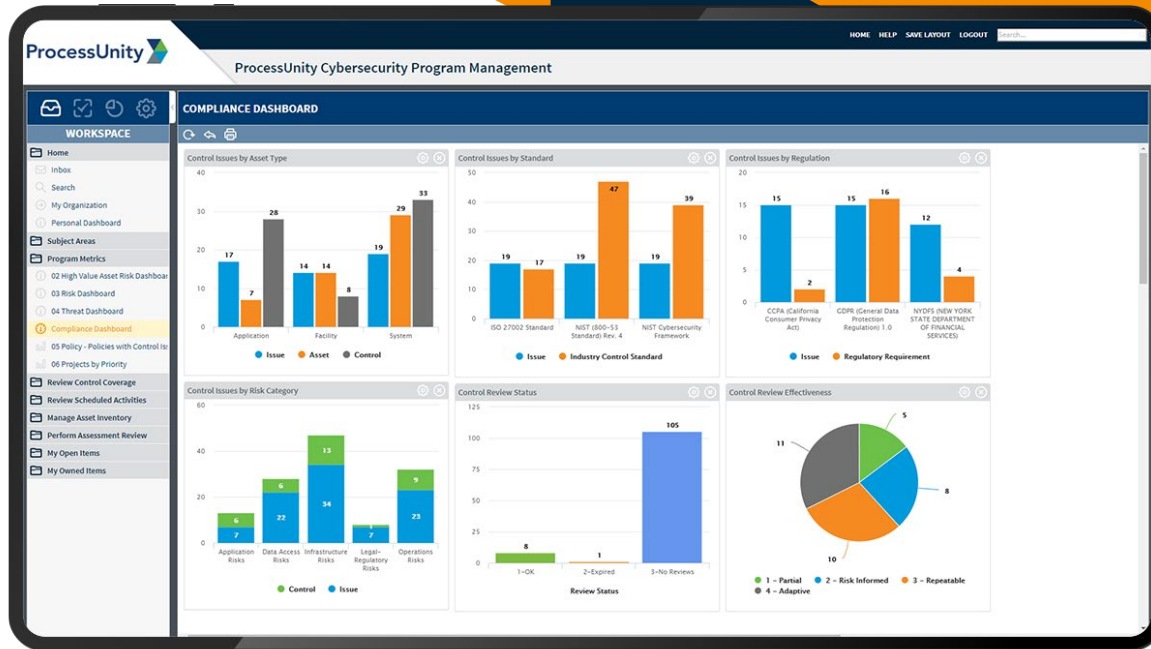


OUR MISSION

- ▶ Advance our customers' most critical risk and compliance programs with **our premier platform and unparalleled expertise.**

The screenshot displays the ProcessUnity Third Party Risk Management interface. The main content area shows a 'QUARTERLY ASSESSMENT SCHEDULE' table. The table has columns for Quarter, Vendor, Service, Overall Health, Impact Risk Criticality, Contract Amount, Ready?, Upcoming Assessment Type, Recommended Scope, Next Assessment, Frequency, Assessment Frequency, Resources (Vendor Contact, Vendor Analyst(s)), and Planned Assessments. The table is organized by quarter (Q1 2015, Q2 2015, Q3 2015) and lists various vendors and services with their respective risk ratings and assessment details.

Quarter	Vendor	Service	Overall Health	Impact Risk Criticality	Contract Amount	Ready?	Upcoming Assessment Type	Recommended Scope	Next Assessment	Frequency	Assessment Frequency	Resources (Vendor Contact, Vendor Analyst(s))	Planned Assessments
Q1 2015													
	Bank of America	Payroll Services	D	Critical	\$7,000,000	Yes	Vendor Classification Evaluation	Information Security Business Continuity	3/18/2015	365	365	Jennifer Smith (Bank of America), Kyle Brown	1
	Human Resources Services		D	Critical	\$900,000	Yes	Self Assessment	Information Security Business Continuity	3/22/2016	365	365	Jennifer Smith (Bank of America), Kyle Brown	1
	Blue Moon, Inc.	Customer Credit Services	C	Low	\$2,100,000	Yes	Internal Due Diligence - self	Information Security Financial Services Anti-Bribery	1/20/2015	365	365	Elisabeth Manning (Blue Moon), Karen Bell	1
Q2 2015													
	ACME Services	Retail Marketing	B	Low	\$40,000	No	Self Assessment	Contract Only	5/24/2016	365	365	Alex Cross (ACME), Karen Bell	1
	Retail Franchise		B	High	\$39,540	No	Self Assessment	Contract Only	5/24/2016	365	365	Alex Cross (ACME), Karen Bell	1
	Prague Insurance	Commercial Building Insurance Services	A	Critical	\$1,500,000	No	Self Assessment	Information Security Financial Services Business Continuity Fourth Party Geographical	5/17/2016	365	365	Sally Newman (Prague), Keith Brady	1
	Traska Limited	Data Storage Services	C	High	\$1,750,000	Yes	Internal Due Diligence - self	Information Security Business Continuity Merchant Bank Processing	5/11/2015	365	365	Lewis White (Traska), Keith Brady	1
	Payroll Services		C	Critical	\$234,000	Yes	Vendor Classification Evaluation	Information Security Business Continuity Merchant Bank Processing	5/11/2015	365	365	Lewis White (Traska), Keith Brady	1
Q3 2015													
	BBF Financial Consulting, Inc.	Financial Services Consulting	B	Critical	\$2,800,000	No	Self Assessment	Information Security Fourth Party	9/7/2015	365	365	Peter Watson (BBF), Keith Brady	1
	Blue Moon, Inc.												2



OUR STRATEGY Our Customers

We will be known for the success of our customers.

- ▶ Implement **effective** risk management programs faster.
- ▶ Offer best-practice **guidance** from subject-matter experts.
- ▶ Incorporate customer **feedback** into our solutions.
- ▶ Provide the types of **services customers need** to run their programs.
- ▶ Foster a **community** of customers, partners, and industries.

ProcessUnity “Admin” Training Options



Public Face-to-Face Training

Multi-day, classroom-style instruction



Virtual Friday Training Series

Dynamic online learning every other week for a year



Private Face-to-Face Training

Remote or in-person, tailored content, flexible logistics



Admin Academy

Self-paced eLearning video modules



Online Help Center

Easily-accessible help content for administrators



Community Learning

Networking events, user groups, advisory board, discussion boards, social learning



Certification Testing

Become a ProcessUnity Certified Professional

Public Face-to-Face Training

- Resumes August 2021
- 3-day immersive events
- Admin 101, Advanced Admin, Reporting, Expressions...
- Concord, San Diego, Miami, London...

www.processunity.com/training

Virtual Friday Training Series

- Every other Friday – 26 total sessions with Replay Library
- 2-hour Zoom™ sessions
- Attendee-voted topics
- Formal training, Live Demos, Open Forum, Interactive!

www.processunity.com/training

Gartner Reports: We Need Your Help!

- Gartner will use online reviews from its Peer Insights website in lieu of live customer references
- \$100 amazon gift card (or charitable donation) for your accepted review

"A great partner for our evolving Vendor Risk Management program."

"Up and managing initial processes within 90 days of 'opening the box.'"

"They are continuously making improvements and adding new features."

"Super easy tool to use and implement."

"The tool was live and performing assessments in 4 to 6 weeks"

"The configuration process is simple and user friendly. The software is intuitive and easy to use."



"One of the most flexible tools on the market!"

"Implementation went smooth and took less than a month."

"ProcessUnity is perfect for organizations looking for an easy way to manage vendor [risk]."

"The platform is very slick and easy to navigate."

"ProcessUnity was great at accommodating us every step of the process."

"The ProcessUnity personnel with whom we partnered to implement the solution were excellent."

"We can report on anything we want and notify on anything."

"Hands-down the best tool in the market for Third-Party Risk."

Figure 1. Magic Quadrant for IT Vendor Risk Management Tools



Figure 1. Vendors' Product Scores for the VRM Solution Use Case



Submit (or Refresh) Your Review

- **NOTE:** All reviews are confidential (names/companies are not published)
- Go to www.gartner.com/reviews/home
- Sign In (or Register)
- Click “Write a Review”
- Search for ProcessUnity Vendor Risk Management
- Submit Your Review
- Forward the Review Acceptance Email to Your ProcessUnity Account Manager
 - Include your email for the gift card or tell us to donate it to charity

Thank You in Advance for Your Help!

Enjoy the Conference!

