PROCESSUNITY'S ASSESSMENTS AS A SERVICE WITH CROWE

Key Benefits at a Glance

- Unburden internal resources to focus on managing critical risk

- Extend your team with world-class third-party risk management experts

- Achieve timely and thorough completion of assessments during a volume spike

- Gather the right risk intelligence to enable informed management decisions

- Leverage a best-in-class VRM technology platform to drive process efficiency

- Ensure third-party assessment results stand up to regulatory scrutiny

- Keep your program current and aligned with industry leading practices

Companies in financial services, life sciences and other highly regulated industries rely on ProcessUnity Vendor Risk Management (VRM) to protect their assets, customers and brands by identifying and mitigating risks posed by third-party vendors and suppliers. As your organization's ecosystem continues to evolve and the number and complexity of your third-party relationships continuous to introduce new risks, it can be challenging to manage third-party (and even fourth-party) risk across a variety of domains. That's why ProcessUnity has partnered with Crowe LLP, a highly regarded public accounting, consulting and technology firm with a global footprint and expertise in third-party risk, to offer Assessments as a Service.

ProcessUnity's Assessments as a Service with Crowe is an outsourced third-party risk assessment service available to customers running the ProcessUnity VRM platform. With a Third-Party Center of Excellence based in the U.S. and more than 750 risk consultants around the globe, Crowe provides specialized expertise and hands-on experience for conducting both on-site and remote assessments of vendors, suppliers, affiliates and other third-parties in your extended enterprise. Crowe specialists perform thousands of third-party risk assessments every year with a track record of helping organizations uncover risks that might otherwise go undetected.

As an extension of your internal team, Crowe specialists help you identify exposure to regulatory, cyber, operational, financial and other risks introduced by third parties. Their outsourcing service provides proven processes, best-in-class technology, program scalability, and in-depth technical and domain expertise. Leveraging Assessments as a Service with Crowe, you can count on top-flight assessment services that help you govern your extended enterprise with greater efficiency and confidence — even as the number and complexity of your third-party relationships continue to grow.

ProcessUnity | Crowe

A Strategic Partner for Third-Party Assessments

Crowe's Third-Party Center of Excellence has established a Plan, Build, Run approach to support your third-party risk assessment process. Their consultants collaborate with your team to understand your program requirements and risk domains; scope your questionnaires, analyze responses, and deliver valuable intelligence to help minimize your risk exposure and drive compliance through a best-in class program. This proven approach eliminates the heavy administrative burden and resource requirements associated with assessing third-party risk, enabling your internal team to focus on key initiatives and business goals.

Crowe's expertise with the ProcessUnity VRM platform allows them to automate and streamline the third-party risk assessment process for accelerated time to value. Their consultants leverage the platform to enable more efficient and rigorous third-party vetting, while reducing vendor fatigue and improving response quality. Customized inherent risk criteria and vendor criticality tiers automatically determine the assessment scope and depth. Preferred responses score vendor submissions in real-time, identifying issues, and highlighting where the team should focus their time. Throughout the process, automated workflows with built-in triggers, notifications, and reminders keep assessment distribution and completion on track.

What Do You Get?

ProcessUnity's Assessments as a Service with Crowe provides outsourced, end-to-end third-party risk assessment services including:

- Direct support for your internal vendor relationship managers

- Third-party profile and inherent risk questionnaire management

- Assessment questionnaire distribution, evaluation and management

- Artifact and non-preferred questionnaire response review

- Live follow-up with third parties for in-depth analysis and control-gap remediation
  - **Survey:** Up to 1 hour per call
  - **Standard:** Up to 4 hours per call
  - **High/Critical:** Up to 8 hours per call

- Extended testing based on complexity and risk (with on-site evaluation, if necessary)

- Actionable assessment report creation
  - Third-party relationship executive summary
  - Scope and approach summary
  - Detailed data exchange and connectivity analysis, including implementation-specific network diagram analysis outlining the types of protections in place and points of risk
  - Detailed findings including overall control weakness and individual gaps
  - Third-party action plans

Crowe will deliver services within timeframes established in a mutually agreed-upon Service Level Agreement.

How Much Does It Cost?

ProcessUnity's Assessments as a Service with Crowe is typically priced on a fixed-cost basis, although full-time equivalent (FTE) and time-and-material options are available. Your specific costs will be calculated based on your program scope and the number of assessments conducted as follows:

ONE-TIME PROGRAM SET UP FEE THAT COVERS	PER-ASSESSMENT COSTS	FTE OR TIME-AND-MATERIALS MODEL
• Workflow review and hand-off standardization • Review requirements and close-out procedures • Assessment service reports build out • ProcessUnity VRM platform instance configuration	• Inherent risk validation • Survey Assessment: pricing varies for onshore vs. offshore • Standard Assessment: pricing varies for onshore vs. offshore • High/Critical Assessment: pricing varies for onshore vs. offshore • Add-on services, including client-provided questionnaire configuration, custom questionnaire development, and non-standard assessment response analysis are available for an additional cost	• Cost-competitive, onshore and offshore staff augmentation for TPRM programs • Full-time resources, as well as overflow staffing on a time–and-materials basis

About Crowe LLP

Crowe LLP (is a public accounting, consulting and technology firm with offices around the world. Crowe uses its deep industry expertise to provide audit services to public and private entities. The firm and its subsidiaries also help clients make smart decisions that lead to lasting value with its tax, advisory and consulting services. Crowe is recognized by many organizations as one of the best places to work in the U.S. As an independent member of Crowe Global, one of the largest global accounting networks in the world, Crowe serves clients worldwide. The network consists of more than 200 independent accounting and advisory services firms in more than 130 countries around the world. For more information, visit www.crowe.com.

*As a partner in ProcessUnity's risk and compliance ecosystem, Crowe can also provide a fully outsourced managed service that runs your entire third-party risk management program, including ProcessUnity VRM platform administration services. To learn more about Crowe's full range of services, ask your sales representative to connect you with Crowe directly.

Learn more about ProcessUnity's Assessments as a Service with Crowe. Contact us at info@processunity.com