

SARBANES-OXLEY COMPLIANCE MANAGEMENT

Ensure Effective and Efficient SOX Compliance with Cloud-Based Automation

Organizations today continue to wrestle with the financial and operational costs of conducting, documenting and reporting on their Sarbanes-Oxley (SOX) compliance assessments.

ProcessUnity's cloud-based SOX assessment solution provides secure, cost-effective, automated support for critical components in a compliance program – from business process documentation, risk evaluation and controls definition, to issues management and controls and assessment testing. Additionally, ProcessUnity streamlines the review and validation of assessment results for both internal teams and external auditors.

Seamless Migration from Legacy and Manual Applications

Firms with first-generation SOX software can easily migrate their existing documentation and processes to the cloud, and gain the new features and frameworks they need for less that it costs to maintain their existing solution.

Organizations currently using spreadsheets, homegrown databases, or legacy SOX software can move to ProcessUnity and leverage powerful workflow, notifications, scoring methodologies and interactive dashboards to reduce day-to-day busy work, streamline executive reporting and breeze through audits.

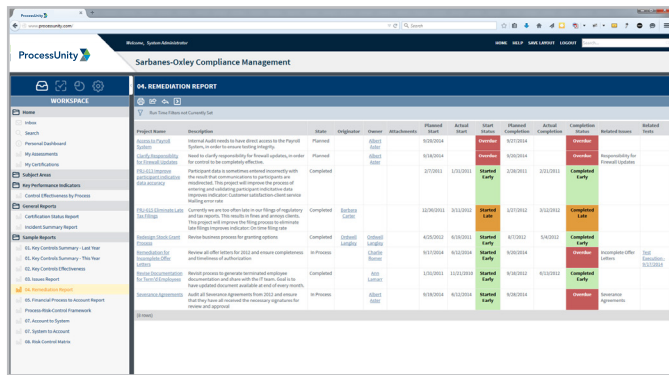
Powerful Compliance Automation

ProcessUnity enables organizations to:

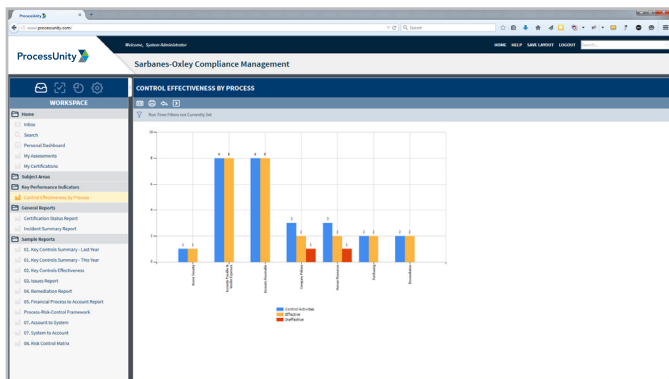
Determine Assessment Scope & Focus – Review selected business processes, controls or systems with detailed descriptions and supporting documentation

Develop a Risk Control Matrix – Link processes to risks and significant accounts and associate risks with mitigating controls

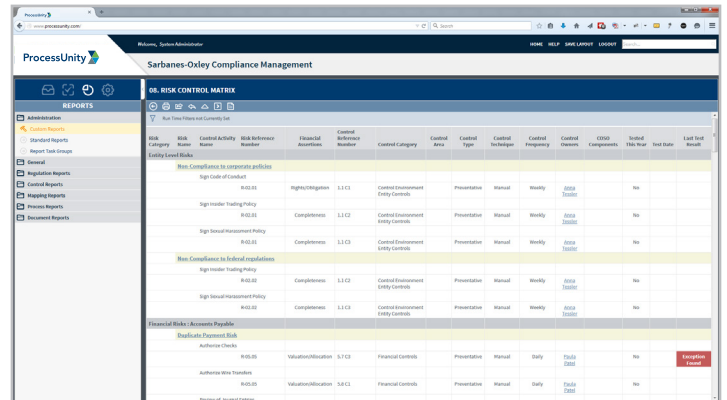
Flag Shared Controls – Quickly and easily implement a ‘test once, satisfy many’ relationship between controls



SOX Compliance Remediation Report



Control Effectiveness by Process



Risk Control Matrix

